Fiscal Year Ending: 12/31/2021

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**Governance Information (Authority-Related)** 

Question		Response	URL (if Applicable)
1.	Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.ccida.com
2.	As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.ccida.com
3.	Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4.	Does the independent auditor provide non-audit services to the Authority?	No	N/A
5.	Does the Authority have an organization chart?	Yes	www.ccida.com
6.	Are any Authority staff also employed by another government agency?	Yes	County of Chautauqua Industrial Development Agency
7.	Has the Authority posted their mission statement to their website?	Yes	www.ccida.com
8.	Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9.	Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.ccida.com

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# **Governance Information (Board-Related)**

Question	· · ·	Response	URL (If Applicable)
1.	Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2.	Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3.	Has the Board established a Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4.	Provide a URL link where a list of Board committees can be found (including the name of the committee and		www.ccida.com
	the date established):		
5.	Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6.	Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.ccida.com
7.	Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.ccida.com
8.	Has the Board adopted a code of ethics for Board members and staff?	Yes	www.ccida.com
9.	Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10.	Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of	Yes	N/A
	PAL?		
11.	Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
	Salary and Compensation	Yes	N/A
	Time and Attendance	Yes	N/A
	Whistleblower Protection	Yes	N/A
	Defense and Indemnification of Board Members	Yes	N/A
12.	Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance	Yes	N/A
	with Section 2824(5) of PAL?		
13.	Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in	Yes	N/A
	accordance with Section 2825(3) of PAL?		
14.	Was a performance evaluation of the board completed?	Yes	N/A
15.	Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16.	Has the board adopted a conditional/additional compensation policy governing all employees?	No	

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# **Board of Directors Listing**

Name	Auer, Hans	Nominated By	Other
Chair of the Board	No	Appointed By	Local
If yes, Chair Designated by		Confirmed by Senate?	No
Term Start Date	1/1/2016	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	Yes
Term Expiration Date	12/31/2023	Complied with Training Requirement of Section 2824?	Yes
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Name	Churchill, Jay	Nominated By	Local
Chair of the Board	No	Appointed By	Local
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	2/26/2000	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	Yes
Term Expiration Date	12/31/2023	Complied with Training Requirement of Section 2824?	Yes
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

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Name	Farrell DuBois, Kelly	Nominated By	Other
Chair of the Board	No	Appointed By	Local
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	1/1/2016	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of	
		Fiduciary Duty?	
Term Expiration Date	12/31/2023	Complied with Training	Yes
		Requirement of Section 2824?	
Title		Does the Board Member/Designee	No
		also Hold an Elected or Appointed	
		State Government Position?	
Has the Board Member Appointed		Does the Board Member/Designee	No
a Designee?		also Hold an Elected or Appointed	
		Municipal Government Position?	
Designee Name		Ex-Officio	

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Name	Henry, Gary	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	1/1/2018	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	Yes
Term Expiration Date	12/31/2023	Complied with Training Requirement of Section 2824?	Yes
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

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Name	Metzger, Michael	Nominated By	Other
Chair of the Board	Yes	Appointed By	Other
If yes, Chair Designated by	Elected by Board	Confirmed by Senate?	N/A
Term Start Date	1/1/2016	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	Yes
Term Expiration Date	12/31/2023	Complied with Training Requirement of Section 2824?	Yes
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

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Name	Rak, Dennis	Nominated By	Local
Chair of the Board	No	Appointed By	Local
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	1/1/2016	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	Yes
Term Expiration Date	12/31/2023	Complied with Training Requirement of Section 2824?	Yes
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

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Name	Thorpe, Steven	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	1/1/2018	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of	
		Fiduciary Duty?	
Term Expiration Date	12/31/2023	Complied with Training	Yes
		Requirement of Section 2824?	
Title		Does the Board Member/Designee	No
		also Hold an Elected or Appointed	
		State Government Position?	
Has the Board Member Appointed		Does the Board Member/Designee	No
a Designee?		also Hold an Elected or Appointed	
		Municipal Government Position?	
Designee Name		Ex-Officio	

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Name	Walters, Bradley P	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	No
Term Start Date	1/1/2016	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	Yes
Term Expiration Date	12/31/2023	Complied with Training Requirement of Section 2824?	Yes
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

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# Staff Listing

Name	Title	Group	Department/ Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time		Annualized Salary			Performance Bonus	,	Compensati on/Allowanc es/Adjustme	Compensat ion paid by Authority	also paid by another entity to perform the work of the	payment made by a State or
Dixon, Richard	CFO	Executive				PT	Yes	\$32,500.00	\$32,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$32,500.00	) Yes	<b>nt</b> No
Strandburg, Kayla	Controller	Administrative and Clerical				PT	Yes	\$15,000.00	\$15,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$15,000.00	Yes	No
Strandburg, Rosie	Admin Assistant	Administrative and Clerical				PT	Yes	\$15,000.00	\$15,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$15,000.00	Yes	No

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# **Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for former staff or individuals affiliated	No
With the Authority after those individuals left the Authority?	

#### **Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Member- ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of these Benefits	Other
Auer, Hans	Board of Directors												Х	
Churchill, Jay	Board of Directors												Х	
Farrell DuBois, Kelly	Board of Directors												Х	
Henry, Gary	Board of Directors												Х	
Metzger, Michael	Board of Directors												Х	
Rak, Dennis	Board of Directors												Х	
Thorpe, Steven	Board of Directors												Х	
Walters, Bradlev P	Board of Directors												Х	

# <u>Staff</u>

Name	Title	Severance	Payment	Club	Use of	Personal	Auto	Transportation	Housing	Spousal /	Tuition	Multi-Year	None of	Other
		Package	for	Member-	Corporate	Loans			Allowance	Dependent	Assistance	Employment	these	
		_	Unused	ships	Credit					Life			Benefits	
			Leave		Cards					Insurance				

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# **Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State	the list of subsidiaries, as assembled by the Office of the State Comptroller, correct?			
Are there other subsidiaries or component units of the Authority t Authority and not independently filing reports in PARIS?	hat are active, not included in the PARIS	reports submitted by this		
Name of Subsidian/Commonant Unit	Status			
Name of Subsidiary/Component Unit	Status			
Request Subsidiary/Component Unit Change				
Name of Subsidiary/Component Unit	Status	Requested Ch	anges	
Request Add Subsidiaries/Component Units				
Name of Subsidiary/Component Unit	Establ	ishment Date	Purpose of Subsidiary/Co	mponent Unit
Request Delete Subsidiaries/Component Units				
Name of Subsidiary/Component Unit	Termination Date	Reason for Termir	ation Proof of	Termination Document Name

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# Summary Financial Information SUMMARY STATEMENT OF NET ASSETS

			Amount
Assets			
Current Assets			
	Cash and cash equivalents		\$0.00
	Investments		\$0.00
	Receivables, net		\$0.00
	Other assets		\$0.00
	Total current assets		\$0.00
Noncurrent Assets			
	Restricted cash and investments		\$0.00
	Long-term receivables, net		\$0.00
	Other assets		\$0.00
	Capital Assets		
		Land and other nondepreciable property	\$0.00
		Buildings and equipment	\$0.00
		Infrastructure	\$0.00
		Accumulated depreciation	\$0.00
		Net Capital Assets	\$0.00
	Total noncurrent assets		\$0.00
Total assets			\$0.00
Liabilities			
Current Liabilities			
	Accounts payable		\$0.00
	Pension contribution payable		\$0.00
	Other post-employment benefits		\$0.00
	Accrued liabilities		\$0.00
	Deferred revenues		\$0.00
	Bonds and notes payable		\$0.00
	Other long-term obligations due within one year		\$0.00
	Total current liabilities		\$0.00
Noncurrent Liabilities			

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	Pension contribution payable	\$0.00
	Other post-employment benefits	\$0.00
	Bonds and notes payable	\$0.00
	Long term leases	\$0.00
	Other long-term obligations	\$0.00
	Total noncurrent liabilities	\$0.00
Total liabilities		\$0.00
Net Asset (Deficit)		
Net Assets		
	Invested in capital assets, net of related debt	\$0.00
	Restricted	\$0.00
	Unrestricted	\$0.00
	Total net assets	\$0.00

#### SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

	SE, EXI ENGLIS / IN SIJ/ IN SEE IN NET / ISSEE IS	Amount
Operating Revenues		
	Charges for services	\$62,500.00
	Rental and financing income	\$0.00
	Other operating revenues	\$0.00
	Total operating revenue	\$62,500.00
Operating Expenses		
	Salaries and wages	\$62,500.00
	Other employee benefits	\$0.00
	Professional services contracts	\$0.00
	Supplies and materials	\$0.00
	Depreciation and amortization	\$0.00
	Other operating expenses	\$0.00
	Total operating expenses	\$62,500.00
Operating income (loss)		\$0.00
Nonoperating Revenues		
	Investment earnings	\$0.00
	State subsidies/grants	\$0.00
	Federal subsidies/grants	\$0.00
	Municipal subsidies/grants	\$0.00
	Public authority subsidies	\$0.00

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	Other nonoperating revenues	\$0.00
	Total nonoperating revenue	\$0.00
Nonoperating Expenses		
	Interest and other financing charges	\$0.00
	Subsidies to other public authorities	\$0.00
	Grants and donations	\$0.00
	Other nonoperating expenses	\$0.00
	Total nonoperating expenses	\$0.00
	Income (loss) before contributions	\$0.00
Capital contributions		\$0.00
Change in net assets		\$0.00
Net assets (deficit) beginning of year		\$0.00
Other net assets changes		\$0.00
Net assets (deficit) at end of year		\$0.00

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#### **Current Debt**

Question		Response
1.	Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2.	If yes, has the Authority issued any debt during the reporting period?	Yes

# New Debt Issuances

Type of Debt: Conduit Debt

# Program:

Project	Amounts	Bond Closing Date	Issue Process	True Interest Cost	Interest type	Term (Yrs)	Cost Of Issuance
Jamestown Center City Development Co.	Refunding \$19,955,000.00	10/28/2021	Negotiated	2.25%	Fixed	10	\$192,500.00
Bevelopment Go.	New \$0.00						
	Total \$19,955,000.00						

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# **Schedule of Authority Debt**

Type of Debt			Statutory Authorization(\$)	Outstanding Start of Fiscal Year(\$)	New Debt Issuances(\$)	<b>\'.'</b>	Outstanding End of Fiscal Year(\$)
State Obligation	State Guaranteed						
State Obligation	State Supported						
State Obligation	State Contingent Obligation						
State Obligation	State Moral Obligation						
Other State-Funded	Other State-Funded						
Authority Debt - General Obligation	Authority Debt - General Obligation						
Authority Debt - Revenue	Authority Debt - Revenue						
Authority Debt - Other	Authority Debt - Other						
Conduit		Conduit Debt	0.0	83,095,000.00	19,955,000.0	0 24,945,000.00	78,105,000.00
Conduit		Conduit Debt - Pilot Increment Financing					
TOTALS			0.0	83,095,000.00	19,955,000.0	0 24,945,000.00	78,105,000.00

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# Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

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Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

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# **Property Documents**

Question		Response	URL (If Applicable)
1.	In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually	No	
	of all real property of the Authority. Has this report been prepared?		
2.	Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring,	Yes	www.ccida.com
	and reporting of contracts for the acquisition and disposal of property?		
3.	In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be	Yes	N/A
	responsible for the Authority's compliance with and enforcement of such guidelines?		

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# **Grant Information**

This Authority has indicated that it did not award any grants during the reporting period.

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# **Loan Information**

This Authority has indicated that it did not have any outstanding loans during the reporting period.

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# **Bond Information**

Name of Recipient of Bond Proceeds	Jamestown Center City	Bond Interest Rate	2.02
	Development Co.		
Address Line1	319 West Third Stree	Last Year Bonds Expected to be Retired	2031
Address Line2		Amount of Bond Principal Retired during the Reporting Year	5720000
City	JAMESTOWN	Amount of Bond Principal Retired Prior to Reporting Year	
State	NY	Current Amount of Bonds Outstanding	\$14,235,000.00
Postal Code	14701	Purpose of Project Requiring the Bond Issuance	Land Preservation/Resource Conservation/Recreation
Plus4		Was the Bond Issuance Expected to Result in New Jobs Being Created?	No
Province/Region		If yes, How Many Jobs Were Planned to be Created?	
Country	United States	If yes, How Many Jobs Have Been Created to Date?	
Amount of Bonds Issued	\$19,955,000.00	Have the Bonds Been Fully Retired?	No
Date Bonds Issued	10/28/2021		

		_ <del>_</del>	
Name of Recipient of Bond Proceeds	Jamestown Center City	Bond Interest Rate	0.98
•	Development Corporation		
			0004
Address Line1	215 Cherry Street	Last Year Bonds Expected to be Retired	2031
			/000=000
Address Line2		Amount of Bond Principal Retired during the Reporting Year	18985000
			400000
City	JAMESTOWN	Amount of Bond Principal Retired Prior to Reporting Year	1250000
			4
State	NY	Current Amount of Bonds Outstanding	\$0.00
D(-I O- I-	4.4704	Down and a Charlest Description the Development	Common annial Dram anti-
Postal Code	14701	Purpose of Project Requiring the Bond Issuance	Commercial Property
			Construction/Acquisition/Revitalization/I
			mprovement
Plus4		Was the Bond Issuance Expected to Result in New Jobs Being Created?	Yes
Flu54		was the Bond issuance Expected to Result in New Jobs Being Created?	162
Province/Region		If yes, How Many Jobs Were Planned to be Created?	15
Frovince/Region		if yes, flow maily Jobs were Flatified to be Created?	15
Country	United States	If yes, How Many Jobs Have Been Created to Date?	15
Country	Offica Otates	ii yes, now many Jobs have been created to bate?	10
Amount of Bonds Issued	\$20,235,000.00	Have the Bonds Been Fully Retired?	Yes
		nave the Bende Been Fally Remou	
Date Bonds Issued	11/7/2013		

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Name of Recipient of Bond Proceeds	Lutheran Housing Administrative Services Group Inc.	Bond Interest Rate	3.15
Address Line1	737 Falconer Street	Last Year Bonds Expected to be Retired	2034
Address Line2		Amount of Bond Principal Retired during the Reporting Year	240000
City	JAMESTOWN	Amount of Bond Principal Retired Prior to Reporting Year	690000
State	NY	Current Amount of Bonds Outstanding	\$5,370,000.00
Postal Code	14701	Purpose of Project Requiring the Bond Issuance	Residential Property Construction/Acquisition/Rehabilitation/I mprovement
Plus4		Was the Bond Issuance Expected to Result in New Jobs Being Created?	Yes
Province/Region		If yes, How Many Jobs Were Planned to be Created?	2
Country	United States	If yes, How Many Jobs Have Been Created to Date?	2
Amount of Bonds Issued	\$6,300,000.00	Have the Bonds Been Fully Retired?	No
Date Bonds Issued	11/22/2017		

Name of Recipient of Bond Proceeds	NRG ENERGY	Bond Interest Rate	1.3
Address Line1	100 Point North Drive	Last Year Bonds Expected to be Retired	2042
Address Line2		Amount of Bond Principal Retired during the Reporting Year	0
City	DUNKIRK	Amount of Bond Principal Retired Prior to Reporting Year	0
State	NY	Current Amount of Bonds Outstanding	\$58,500,000.00
Postal Code	14048	Purpose of Project Requiring the Bond Issuance	Commercial Property Construction/Acquisition/Revitalization/I mprovement
Plus4		Was the Bond Issuance Expected to Result in New Jobs Being Created?	No
Province/Region		If yes, How Many Jobs Were Planned to be Created?	
Country	United States	If yes, How Many Jobs Have Been Created to Date?	
Amount of Bonds Issued	\$58,500,000.00	Have the Bonds Been Fully Retired?	No
Date Bonds Issued	3/11/2021		

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**Additional Comments**